Tests of scalar-tensor gravity

Gilles Esposito-Farese (CNRS, GRECO / IAP, France)

The most natural theories of gravity include a scalar field ϕ besides the metric $g_{\mu\nu}$

- Mathematically consistent field theories (no ghost, no adynamical field)
- Motivated by superstrings
- dilaton in the graviton supermultiplet
- moduli after dimensional reduction



• Scalar fields play a crucial role in modern **cosmology**

(potential $V(\phi) \approx$ negative pressure \Rightarrow accelerated expansion phases of the universe)



• Useful as **contrasting alternatives** to general relativity (simple, but general enough ⇒ many possible deviations)

Tensor – scalar theories

$$spin 2 \quad spin 0$$

$$\downarrow \qquad \downarrow$$

$$S = \frac{c^{3}}{4 \pi G} \int \sqrt{-g} \left\{ \frac{R}{4} - \frac{1}{2} \left(\partial_{\mu} \phi \right)^{2} - V(\phi) \right\}$$

$$+ S_{matter} \left[matter; \tilde{g}_{\mu\nu} \equiv A^{2}(\phi) g_{\mu\nu} \right]$$

$$\uparrow$$
physical metric

Solar-system constraints

• "PPN" formalism to study weak-field gravity (order Newton $\times \frac{1}{c^2}$) [Eddington, Schiff, Baierlin, Nordtvedt, Will]

$$\begin{cases} -g_{00} = 1 - 2 \frac{Gm}{rc^2} + 2 \beta^{PPN} \left(\frac{Gm}{rc^2}\right)^2 + \dots \\ g_{ij} = \delta_{ij} \left[1 + 2 \gamma^{PPN} \frac{Gm}{rc^2} + \dots \right] \end{cases}$$

• In scalar-tensor gravity

If $V''(\phi) = m_{\phi}^2 \gg (A.U.)^{-2} \Rightarrow \phi$ negligible If $V''(\phi) = m_{\phi}^2 \ll (A.U.)^{-2} \Rightarrow$ matter-scalar coupling function $A(\phi)$ strongly constrained









Weak-field experiments

$$\begin{cases} -g_{00} = 1 - 2 \frac{Gm}{rc^2} + 2 \beta^{PPN} \left(\frac{Gm}{rc^2}\right)^2 + \dots \\ g_{ij} = \delta_{ij} \left[1 + 2 \gamma^{PPN} \frac{Gm}{rc^2} + \dots \right] \end{cases}$$

Strong-field tests ?





Plot the three curves [strips]

$$\begin{array}{c} \gamma_{\text{Timing}}^{\text{theory}}(m_{A}, m_{B}) = \gamma_{\text{Timing}}^{\text{observed}} \\ \dot{\omega}^{\text{theory}}(m_{A}, m_{B}) = \dot{\omega}^{\text{observed}} \\ \dot{P}^{\text{theory}}(m_{A}, m_{B}) = \dot{P}^{\text{observed}} \end{array} \right\} \qquad \quad \text{``} \gamma_{T} - \dot{\omega} - \dot{P} \text{ test ''}$$

PSR B1913+16 in general relativity



PSR B1534+12

(discovery Wolszczan 1991)

5 observables -2 masses = 3 tests

"Galactic" contribution to P [Damour–Taylor 1991]

Doppler \propto n.v

$$\implies \frac{d \text{ Doppler}}{d t} \propto n.a + \frac{v_{\perp}^2}{d_{OPSF}}$$

PSR J1141-6545

(discovery Kaspi et al. 1999, timing Bailes et al. 2003)



 $\dot{P} = -4 \times 10^{-13}$

Mass function

$$\frac{\left(\mathrm{m}_{\mathrm{B}}\sin i\right)^{3}}{\left(\mathrm{m}_{\mathrm{A}}+\mathrm{m}_{\mathrm{B}}\right)^{2}} = \left(\frac{2\pi}{\mathrm{P}}\right)^{2}\frac{\left(\mathrm{x}\,\mathrm{c}\right)^{3}}{\mathrm{G}}$$

PSR J0737-3039

(timing Burgay et al. 2003, double pulsar Lyne et al. 2004)

P = 2 h 27 min 14.5350 s







Deviations from general relativity due to the scalar field



• But nonperturbative strong-field effects may occur:

deviations = $\alpha_0^2 \times \left[a_0 + a_1 \frac{Gm}{Rc^2} + a_2 \left(\frac{Gm}{Rc^2} \right)^2 + \dots \right]$ <10⁻⁵ LARGE for $\frac{Gm}{Rc^2} \approx 0.2$?

$$G_{AB}^{eff} = G (1 + \alpha_A \alpha_B)$$
depends on internal
structure of bodies A & B
$$\overbrace{qraviton}^{A} \overbrace{\alpha_A}^{B} \overbrace{\alpha_A}^{B} \overbrace{\alpha_A}^{B}$$
similarly for $(\gamma^{PPN} - 1)$ and $(\beta^{PPN} - 1) \implies$ all post-Newtonian effects
$$\overbrace{\alpha_A}^{A} \overbrace{\alpha_B}^{B} \overbrace{\alpha_A}^{A} \overbrace{\alpha_A}^{A}$$
Energy flux =
$$\underbrace{Quadrupole}_{c^5} + O(\frac{1}{c^7})$$
spin 2
$$+ \frac{Monopole}{c} (0 + \frac{1}{c^2})^2 + \frac{Dipole}{c^3} + \frac{Quadrupole}{c^5} + O(\frac{1}{c^7})$$
spin 0
$$\overbrace{\alpha(\alpha_A}^{A} - \alpha_B)^2$$







Vertical axis ($\beta_0 = 0$) : Jordan–Fierz–Brans–Dicke theory $\alpha_0^2 = \frac{1}{2 \omega_{BD} + 3}$ Horizontal axis ($\alpha_0 = 0$) : perturbatively equivalent to G.R.



[T. Damour & G.E-F 1998]

N.B.: if not enough "post-Keplerian" observables are measured, the masses m_A and m_B cannot be accurately determined, but Keplerian "mass function" can still be used

$$\frac{\left(\mathrm{m_{B}}\sin i\right)^{3}}{\left(\mathrm{m_{A}}+\mathrm{m_{B}}\right)^{2}} = \left(\frac{2\pi}{\mathrm{P}}\right)^{2}\frac{\left(\mathrm{x\,c}\right)^{3}}{\mathrm{G}}$$

Strong equivalence principle test



 $\Rightarrow |(\alpha_{\rm A} - \alpha_{\rm B})\alpha_{\rm C}| \approx |1 - m_{\rm g}/m_{\rm i}| < 10^{-2}$

Detection of gravitational waves (LIGO, VIRGO, ...)





Coalescing binary

Even if no helicity-0 wave is detected, the time-evolution of the (helicity-2) chirp depends on the Energy flux = $(\text{strong field})^2$

 \Rightarrow A priori possible to detect indirectly the presence of φ [C.M. Will 1994 : matched-filter analysis]

For a given binary system



Chirp evolution in a tensor–scalar theory

For an unknown mass of the system



Chirp evolution in general relativity

Chirp evolution in a tensor–scalar theory



Mass plane for PSR J1141–6545 in various scalar-tensor theories





Cosmological observations give access to the full shape of $A(\phi)$ and/or $V(\phi)$

• Usual cosmology:

- Assume particular forms of $V(\phi)$ [and $A(\phi)$] for theoretical reasons
- Predict all observable quantities
- Compare them to experimental data

• Phenomenological approach:

Reconstruct $A(\phi) \& V(\phi)$ from observational data.

Result:

If luminosity distance $D_L(z)$ and density fluctuations $\delta_m(z) = \frac{\delta \rho}{\rho}$ are both known as functions of the redshift z, then $A(\phi) \& V(\phi)$ can be reconstructed.

[B. Boisseau, G.E-F, D. Polarski, A. Starobinsky 2000]

N.B.: A priori obvious, since one "fits" **two** observed functions $[D_L(z) \& \delta_m(z)]$ with **two** unknown ones $[A(\phi) \& V(\phi)]$!

• Semi-phenomenological approach:

 $[\delta_{m}(z) \text{ not yet measured}]$

– Theoretical hypotheses on $V(\phi)$ or $A(\phi)$

- Reconstruct the other one from $D_{L}(z)$

N.B.: A priori obvious too, since one fits **one** observed function $[D_L(z)]$ with **one** unknown function $[A(\phi) \text{ or } V(\phi)]$. However, this naive reasoning works only locally (small interval).

Result:

 \exists tight constraints if $D_L(z)$ measured on a wide interval $z \in [0, -2]$, even with large error bars!

[G.E-F & D. Polarski 2001]

Constraints come mainly from positivity of energy :

 $E_{\text{graviton}} \ge 0 \iff A^2 > 0 \iff \Phi_{\text{BD}} > 0$ $E_{\phi} \ge 0 \iff - (\partial_{\mu}\phi)^2 \iff \omega_{\text{BD}} > -3/2$

Reconstruction of $A(\phi)$ and $V(\phi)$

$$S = \frac{c^3}{4\pi G} \int \sqrt{-g} \left\{ \frac{R}{4} - \frac{1}{2} \left(\partial_\mu \varphi \right)^2 - V(\varphi) \right\} + S_{\text{matter}} \left[\text{matter} ; \tilde{g}_{\mu\nu} \equiv A^2(\varphi) g_{\mu\nu} \right]$$

$$spin \ 2 \quad \text{spin } 0 \qquad \text{physical metric}$$

Change of variables (Brans-Dicke-like representation):

$$\widetilde{g}_{\mu\nu} \equiv A^{2}(\varphi)g_{\mu\nu} \qquad 2\omega(\Phi) + 3 \equiv A^{2}(\varphi)/A^{\prime 2}(\varphi)$$

$$\Phi \equiv A^{-2}(\varphi) \qquad U(\Phi) \equiv 2V(\varphi)/A^{4}(\varphi)$$

$$\Rightarrow S = \frac{c^{3}}{16\pi G} \int d^{4}x \sqrt{-\tilde{g}} \left\{ \Phi \widetilde{R} - \frac{\omega(\Phi)}{\Phi} \left(\partial_{\mu} \Phi \right)^{2} - 2U(\Phi) \right\} + S_{\text{matter}} \left[\text{matter}; \widetilde{g}_{\mu\nu} \right]$$

H(z) known if $D_L(z)$ observed:

$$\frac{1}{H(z)} = \left(\frac{D_L(z)}{1+z}\right)' \times \left[1 + \Omega_{\kappa,0} \left(\frac{H_0 D_L(z)}{1+z}\right)^2\right]^{-1/2}$$

$$\begin{aligned} & \left\{ \begin{array}{l} \ddot{\delta}_{m} + 2H\dot{\delta}_{m} - 4\pi G_{\mathrm{eff}}\rho\delta_{m} \simeq 0 & \text{for} \quad \lambda \ll \left(\frac{1}{H}, \frac{1}{m_{\varphi}}\right) \\ & \Rightarrow \quad \frac{\Phi}{\Phi_{0}} \quad \simeq \quad \frac{3}{2} \left(\frac{H_{0}}{H}\right)^{2} \frac{(1+z)\,\Omega_{m,0}\,\delta_{m}}{\delta_{m}^{\prime\prime} + \left(\frac{H'}{H} - \frac{1}{1+z}\right)\delta_{m}^{\prime\prime}} \times \left(1 + \frac{1}{2\omega + 3}\right), \\ & \bullet \quad \frac{2U}{(1+z)^{2}H^{2}} \quad = \quad \Phi^{\prime\prime} + \left(\frac{H'}{H} - \frac{4}{1+z}\right)\Phi^{\prime} + \left[\frac{6}{(1+z)^{2}} - \frac{2}{1+z}\frac{H'}{H} - 4\left(\frac{H_{0}}{H}\right)^{2}\Omega_{\kappa,0}\right]\Phi \\ & \quad -3\left(1+z\right)\left(\frac{H_{0}}{H}\right)^{2}\Phi_{0}\,\Omega_{m,0}\,, \\ & \bullet \quad \omega \quad = \quad -\frac{\Phi}{\Phi^{\prime2}}\left\{\Phi^{\prime\prime} + \left(\frac{H'}{H} + \frac{2}{1+z}\right)\Phi^{\prime} - 2\left[\frac{1}{1+z}\frac{H'}{H} - \left(\frac{H_{0}}{H}\right)^{2}\Omega_{\kappa,0}\right]\Phi \\ & \quad +3\left(1+z\right)\left(\frac{H_{0}}{H}\right)^{2}\Phi_{0}\,\Omega_{m,0}\right\}. \end{aligned}$$

 $\Rightarrow \Phi(z), \, U(z) \text{ and } \omega(z) \text{ reconstructed } \Rightarrow U(\Phi) \text{ and } \omega(\Phi) \text{ reconstructed}$



 $(\exists analytical solutions)$







 $(\exists analytical solution)$

More general tensor – scalar theories

$$S = \frac{c^{3}}{4\pi G} \int \sqrt{-g} \left\{ \frac{R}{4} - \frac{1}{2} (\partial_{\mu}\varphi)^{2} - V(\varphi) \right\} + S_{\text{matter}} \left[\text{matter}; \tilde{g}_{\mu\nu} \equiv A^{2}(\varphi)g_{\mu\nu} \right]$$

$$\text{spin 2 spin 0} \qquad \text{physical metric}$$

$$K \text{-essence } \sigma \text{-model quintessence}$$

$$\int \sqrt{-g} \left\{ \frac{R}{4} - \frac{1}{2} F\left(g^{\mu\nu}\gamma_{ab}(\varphi^{c}) \partial_{\mu}\varphi^{a}\partial_{\nu}\varphi^{b}\right) - V(\varphi^{a}) \right\}$$

$$-\hbar \int \sqrt{-g} W(\varphi^{a}) \left(R^{2}_{\mu\nu\rho\sigma} - 4R^{2}_{\mu\nu} + R^{2}\right)$$

$$-\hbar \int \sqrt{-g} W(\varphi^{a}) \left(R^{2}_{\mu\nu\rho\sigma} - 4R^{2}_{\mu\nu} + R^{2}\right)$$

$$\text{Gauss-Bonnet}$$

$$+ S_{\text{matter}} \left[\text{matter}; \tilde{g}_{\mu\nu} \equiv A^{2}(\varphi^{a})g_{\mu\nu} \right]$$

$$extended quintessence$$

N.B.:

- $f(R) \iff$ an extra scalar field [Teyssandier & Tourrenc 1983]
- $f(R, \Box R, \ldots, \Box^n R) \iff n+1$ extra scalar fields [Gottlöber *et al.* 1990; Wands 1994]
- $f(R_{\mu\nu})$ and/or $f(R_{\mu\nu\rho\sigma}) \iff$ an extra massive spin-2 **ghost** [Stelle 1977; Hindawi *et al.* 1996; Tomboulis 1996]

Example of a pure scalar–Gauss-Bonnet coupling

$$S = \frac{c^3}{4\pi G} \int \sqrt{-g} \left\{ \frac{R}{4} - \frac{1}{2} \left(\partial_\mu \varphi \right)^2 - \mathbf{0} \right\}$$

$$-\hbar \int \sqrt{-g} W(\varphi) \left(R_{\mu\nu\rho\sigma}^2 - 4R_{\mu\nu}^2 + R^2 \right)$$

$$+S_{\text{matter}} [\text{matter}; \tilde{g}_{\mu\nu} \equiv \mathbf{1} \times g_{\mu\nu}]$$

Experimental constraints on $W(\phi)$ assuming $V(\phi) = 0$ & $A(\phi) = 1$?

• Solar system (& binary pulsars)

$$\Box \varphi = \frac{3r_0^2}{r^6} \left(\frac{2GM_{\odot}}{c^2}\right)^2 \left[W_0' + W_0''\varphi + O(\varphi^2)\right]$$

$$\left\{ \begin{array}{ll} \left[\text{light deflection} & \Delta\theta_{\bigstar} = \frac{4GM_{\odot}}{\rho_0 c^2} + \frac{1536}{35} \left(\frac{GM_{\odot}}{\rho_0 c^2}\right)^3 \left(\frac{r_0}{\rho_0}\right)^4 W_0'^2 \right] \\ \text{perihelion shift} & \Delta\theta_{\oiint} = \frac{6\pi GM_{\odot}}{pc^2} + 192\pi \left(\frac{GM_{\odot}}{pc^2}\right)^2 \left(\frac{r_0}{p}\right)^4 W_0'^2 \right] \\ \end{array}$$

OK if $|W_0'|$ small enough

- Reconstruction of $W(\varphi)$ from cosmological observation of $D_L(z)$
 - Can always be done without any problem of negative energy.
 - \exists attraction mechanism towards a minimum of W(arphi)
 - $\Rightarrow |W_0'|$ small is expected.

Reconstruction of the scalar–Gauss-Bonnet coupling function $W(\phi)$ [for $V(\phi) = 0$ and $A(\phi) = 1$]





[G.E-F & E. Semboloni]

Experimental constraints on $W(\phi)$ assuming $V(\phi) = 0 & A(\phi) = 1$? (continued)

• Solar system again

If $|W_0''\varphi| \gg |W_0'|$, we cannot neglect it in



assume parabolic $W(\varphi)$

$$\Rightarrow \left| \varphi = \frac{W_0'}{W_0''} \sum_{n \ge 1} \frac{1}{(3 \times 4)(7 \times 8) \cdots (4n - 1)(4n)} \left(\frac{12r_0^2 G^2 M_{\odot}^2 W_0''}{r^4 c^4} \right)^n \right. \\ \approx \frac{W_0'}{W_0''} \left[\cosh \left(\frac{GM_{\odot} r_0}{r^2 c^2} \sqrt{3|W_0''|} \right) - 1 \right] \qquad \text{if } W_0'' > 0 \\ \approx \frac{W_0''}{W_0''} \left[\cosh \left(\frac{GM_{\odot} r_0}{r^2 c^2} \sqrt{3|W_0''|} \right) - 1 \right] \qquad \text{if } W_0'' < 0 \\ \approx \frac{W_0''}{r^2 c^2} \sqrt{3|W_0''|} \right] = 1 \\ \approx 10^8$$

- $\varphi \to 0$ for $r \to \infty$ \Rightarrow theory \simeq G.R. for $r > 4 \times 10^{14}$ m (farther than solar system + comet cloud)
- In the solar system, \exists highly nonlinear corrections in $\frac{1}{r^{4n}}$

•
$$\varphi \to 0$$
 for $W_0' \to 0$

 \Rightarrow no nonperturbative effect (like spontaneous scalarization)

• Solar system tests

$$ds^{2} = -\left(1 + \sum_{n} \frac{\beta_{n}}{\rho^{n}}\right)c^{2}dt^{2} + \left(1 + \sum_{n} \frac{\alpha_{n}}{\rho^{n}}\right)d\rho^{2} + \rho^{2}d\Omega^{2}$$

$$\int \text{light deflection} \quad \Delta \theta_{\bigstar} = \sum_{n} 2^{n-1} \frac{\Gamma\left(\frac{n+1}{2}\right)}{\Gamma(n+1)} \frac{\alpha_n - n\beta_n}{\rho_0^n} + O(\alpha_n, \beta_n)^2$$

perturbative :

perihelion shift
$$\Delta \theta_{\breve{\varphi}} = \frac{6\pi G M_{\odot}}{pc^2} - \sum_{n} \frac{n(n-1)\beta_n c^2}{2G M_{\odot} p^{n-1}} \pi + O(\alpha_n, \beta_n)^2$$

$\Rightarrow |W'_0| < 10^{-2 \times 10^{11}} !!!$

if we take the $\,W_0^{\prime\prime}>0$ given by the cosmological reconstruction.

Hyperfine tuning, which cannot last for more than a fraction of a second.

 $\Rightarrow \ \, {\rm The \ model} \ \ A(\varphi)=1, \ V(\varphi)=0, \ W(\varphi)\neq 0 \ \ \, {\rm is \ already \ ruled \ out}$

*
*
*

 \bullet N.B.: If $\ W_0'' < 0$, then

$$\varphi \simeq \frac{W_0'}{W_0''} \left[\cos\left(\frac{GM_{\odot}r_0}{r^2c^2}\sqrt{3|W_0''|}\right) - 1 \right]$$

and it suffices to have $|r_0^2 W_0'| \ll r^2$ to get negligible effects in the solar system, even if $|W_0''| \sim 10^{120}$.

 \Rightarrow Not so trivial that a R^2 term in the Lagrangian must have larger effects on small scales than on large ones.

Conclusions

- Scalar-tensor theories are the best motivated alternatives to general relativity.
- Solar-system tests constrain the first derivative of the scalar-matter coupling function $A(\varphi)$.
- Binary-pulsar data constrain the second derivative of $A(\varphi)$.
- Knowledge of the two cosmological functions $D_L(z)$ and $\delta_m(z)$ suffices to reconstruct both $A(\varphi)$ and the potential $V(\varphi)$ on a finite interval of φ .
- Knowledge of $D_L(z)$ alone over a wide redshift interval strongly constrains the theories if one takes into account
 - solar-system (& binary-pulsar) data
 - positivity of energy
 - stability of the theory
 - (- naturalness)
- [N.B.: less constraining if universe marginally closed]
- \Rightarrow SN Ia data allow us to discriminate between G.R.+ Λ and scalar-tensor theories.
- Scalar–Gauss-Bonnet coupling strongly constrained by combination of solar-system & cosmological data.
 N.B.: A model with V(φ), A(φ) & W(φ) is experimentally allowed. W(φ) will change the behaviour at small scales (clustering, Big Bang).



